#### VOLTAGE CONTROLLED-BANDWIDTH ELECTROOPTIC TRANSVERSE PHASE MODULATOR WITH PARALLEL ELECTRODES

التحكم بالجهد في النطاق الترددي لمعدل الزاوية الكهروضوني المستعرض مع الأقطاب المتوازية

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الخلاصة: في هذا البحث تم استخدام ألجوارثم لإيجاد النطاق الترددي لمعدل الزاوية الكهروضوني المستعرض. النطاق الترددي يحسب بإيجاد ثابت الانتشار لدليل الموجه المستطيل مع المواد الكهروضوئيه وذلك قبل وبعد تسليط الجهد الكهربي خلال الأقطاب المعدنية المتوازية. تم عمل التصميم الأمثل و ذلك بإيجاد نطاق ترددي عريض واقل جهد مطلوب لإحداث تغير في زاوية الطور بمقدار  $\pi$  ( $\nu$ ) واقل مفاقيد. وجد أن (KNbO أفضل المواد الكهروضوئية التي تعطى أكبر نطاق ترددي و أقل رقم استحقاق ( $\nu$ ) وأقل مفاقيد. وجد أن المحاور مع Z-cut أفي حالة عدم دوران المحاور مع Batio . أما في حالة دوران المحاور على Batio تكون الأفضل مع -2. أما في حالة لا يعتمد على مادة الأقطاب المعدنية و الفضة لزيادة  $\nu$  على الرغم من زيادة النطاق الترددي . معامل الانتشار تقريبا لا يعتمد على مادة الأقطاب المعدنية و الفضة تعطى أقل مفاقيد . تأثير طول المعدل يمكن التغلب عليه بتغير الجهد الكهربي المسلط بمعكوس نفس نسبة التغير في اتجاه  $\nu$  بعطى نفس النتائج كما في اتجاه  $\nu$  مع تغيير حالات توجيه المحاور .

**ABSTRACT:** An algorithm to find the bandwidth ( $\Delta F$ ) and half wave retardation ( $V_{\pm\pi}$ ) of the transverse phase modulator for several electrooptic materials with X, Y and Z-cuts, is east where  $\Delta F$  and  $V_{\pm\pi}$  are calculated by determining the propagation constant of anisotropic metal clad electrooptic rectangular waveguide before ( $\beta_o$ ) and after ( $\beta_v$ ) applied voltage through parallel electrodes. The optimum design with broad  $\Delta F$ , lower  $V_{\pm\pi}$  and smallest propagation losses is done. It is found that KNbO<sub>3</sub> without rotating of axes (Z-cut) is the best material but, BaTiO5 with rotating of axes (Y-cut) gives smallest figure of merit ( $V_\pi/\Delta F$ ). The values of  $\Delta F$ ,  $V_{\pm\pi}$  and  $V_\pi/\Delta F$  are increased with the operating wavelength ( $\lambda$ ). The propagation constant approximately, is independent of the electrode material and silver gives smallest losses. Either  $E_x$  or  $E_y$  give similar numerical results with exchange the orientation cases. The effect of modulator length can be treated by change the applied voltage with the same reciprocal ratio without rotating of axes.

#### 1- INTRODUCTION

High speed electrooptic (EO) modulator is essential for future optical communication on systems [1-5]. Modulation bandwidth ( $\Delta F$ ) is a critical factor when dense wavelengths channels are to be multiplexed onto the same optical beam, so fast switching speed and wide bandwidth are useful in advanced telecommunication systems [6]. The development of large broadband with lower  $V_{\pm\pi}$  modulators and minimum propagation losses are the major considerations [7-9]. Transverse phase modulator is the simplest EO modulator, it consists of an EO material placed between parallel electrodes [10] as shown in

Fig.1. The electric field is applied along one of the crystal's principle axes, light polarized along any other principle axes, an index of refraction change, hence an optical path length change and so, a change in propagation delay is done and that is proportional to the applied electric field [8]. LiNbO<sub>3</sub>, LiTaO<sub>3</sub>, KNbO<sub>3</sub> (Z-cut), BaTiO<sub>3</sub>, BaTiO<sub>5</sub> (Y-cut) are the optimum EO materials for studied isotropic and anisotropic materials [11-13]. Metal electrodes behaves as a high loss dielectric with a negative dielectric constant over the entire frequency range of light [12], accordingly, metal eladding on the wave-guide provides significant propagation loss. Metal electrode

losses can be reduced by inserting a dielectric film (buffer layer) with lower refractive index (n<sub>b</sub>) between the waveguide and the electrode as shown in Fig.2. Loss of TM mode is very high than losses of TE mode, so, buffer layer must be used with TM mode [12]. Although the best direction for TM mode is parallel with the electrode not perpen-dicular with it. Aluminum (Al), cooper (Cu), gold (Au) and silver (Ag) metal electrodes are used and silver losses are very small. The propagation constant can be considered approximately, independent of the kind of material of metal electrodes. Propagation losscs аге approximately, independent of the EO material. In this algorithm the calculation of the change of propagation constant is the difference between the propagation constants after and before the applied electric field. The values bandwidth ( $\Delta F$ ) and half wave retardation  $(V_{\pm z})$  for the above five materials arc studied with optical polarized Expq (to avoid the very large losses of TM mode ) and applied electric field in y-direction, E<sub>v</sub>, (where, results with Ex are the same results of  $E_v$ 

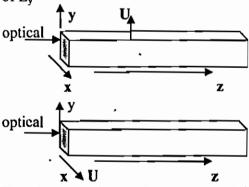


Fig. 1 Transverse phase modulator with Parallel electrodes (propagation in z-direction)

E<sub>v</sub> (V in y-direction), E<sub>v</sub> (V in x-direction)

with exchange the orientation cases). The values of  $V_{\pm\pi}$  and  $\Delta F$  depend upon the case of orientation axes. Case 1 gives the best figure of merit for LiNbO<sub>3</sub>, LiTaO<sub>3</sub> and KNbO<sub>3</sub>, while case 4 gives the best results for BaTiO<sub>3</sub> (:  $r_{41}$ = $r_{51}$ =820) and BaTiO<sub>5</sub> (:  $r_{41}$ = $r_{51}$ =1700). The results of the present algorithm is in good agreement if it is compared with that calculated by  $\Delta \beta = k_o \Delta n_z$  [15].

metal electrodes

 $\frac{\text{Buffer layer} \quad n_b}{\text{Core material } n_g} \quad T$   $\frac{\text{core material } n_g}{\text{substrate material } n_s} \quad T$ 

Fig.2 Slab waveguide with and without buffer layer

#### 2- MATHEMATICAL ANALYSIS

2.1 Electrooptic Effect: When an electric field is applied upon EO material a new index ellipsoidal (1E) shape occurred, where there are changed in both scale and orientation from original one. The new IE with linear EO effect (Pockel's effect) is [16];

$$\begin{array}{l} (n_a^{-2} + r_{11} E a + r_{12} E_b + r_{13} E_c) a^2 \\ + (n_b^{-2} + r_{21} E a + r_{22} E_b + r_{23} E_c) b^2 \\ + (n_c^{-2} + r_{31} E a + r_{32} E_b + r_{33} E_c) c^2 \\ + 2(r_{41} E_a + r_{42} E_b + r_{43} E_c) bc \\ + 2(r_{51} E_a + r_{52} E_b + r_{53} E_c) ac \\ + 2(r_{61} E_a + r_{62} E_b + r_{63} E_c) ab = 1 \end{array}$$

Where;  $E_a$ ,  $E_b$  and  $E_c$  are the electric field components in a, b and c directions. where, a, b and c are the crystallographic axes, so, there are six positions (cases) for the orientation of coordinate system axes (x, y and z) with respect to crystallographic axes. Z-cut (Case1: x//a, y//c, z//b, and case3: x//c, y//a, z//b), Y-cut (case2: x//b, y//c, z//a, case4: x//c, y//b, z//a) and X-cut (case5: x//a, y//b, z//c, case 6: x//b, y//a, z//c).

## 2.1.1 IE for EO materials which have point group symmetry (3m)

Such as LiNbO<sub>3</sub> and LiTaO<sub>3</sub> becomes;  $(n_a^{-2}+r_{12}E_b+r_{13}E_c)a^2+(n_b^{-2}+r_{22}E_b+r_{23}E_c)b^2+(n_c^{-2}+r_{33}E_c)c^2+2(r_{42}E_b)bc+2(r_{51}E_a)ac+2(r_{61}E_a)ab=1$  (2)

# 2.1.2 IE for EO materials which have point group symmetry (mm2, 4mm, 6mm) Such as KNbO<sub>3</sub>, BaTiO<sub>3</sub>, BaTiO<sub>5</sub>, ZnO and m-NA becomes; $(n_a^{-2}+r_{13}E_c)a^2+(n_b^{-2}+r_{23}E_c)b^2+(n_c^{-2}+r_{33}E_c)c^2+2(r_{42}E_b)bc+2(r_{51}E_a)ac=1$ (3)

## 2.1.3 IE for EO material which have point group symmetry (23, 4'2m, 4'3m)

Such as ADP, KDP, KDP<sup>a</sup>, GaAs and Bi<sub>12</sub>SiO<sub>20</sub> becomes;  $(n_a^{-2})a^2+(n_b^{-2})b^2+(n_c^{-2})c^2+2(r_{41}E_a)bc +2(r_{52}E_b)ac+2(r_{63}E_c)ab=1$  (4)

The axcs rotating by angle 0, to eliminate the cross products of xy, xz and yz and this angle depends on the applied voltage (except for case 6 for LiNbO<sub>3</sub> and LiTaO<sub>3</sub> where, 0 = 45 degree regardless the value of  $E_y$ ). So, cases 3, 4 and 6 can not be controlled by applied voltage. But these cases (absolute value of  $\theta > 0$ ) are studied to find the comparison between electrooptic materials.

### 2.2 Changes of Refractive Index With Parallel Electrodes

For electric field in y-direction E<sub>v</sub>, and from Eqs.3 and 4, there is not index change for EO material which have point groups 23, 4'2m and 4'3m (ADP, KDP, KDPa and GaAs) and cases 5 and 6 for materials with point groups 6mm, 4mm and mm2 (BaTiO<sub>3</sub>, BaTiO<sub>5</sub>, KNbO<sub>3</sub>, m-NA and ZnO). The change of refractive index  $\Delta n_x$  and  $\Delta n_y$  for several point groups are indicated in Table.1 which indicates that, for LiNbO3 and LiTaO<sub>1</sub>, cases 1 and 2 are similar (:  $n_a=n_b$ ,  $r_{13}=r_{23}$ ), cases 5 and 6 are similar (:  $n_a=n_b$ ,  $r_{61}=r_{12}=-r_{22}$ ) and case 1(case 2) is the best case where, cases 3 and 4 need largest value of E<sub>v</sub> to overcome the smallest value of 0 and case 5 (case 6) with very small  $r_{12}$  and r<sub>22</sub>. Also Table 1 indicates that, for BaTiO<sub>3</sub> and BaTiO<sub>5</sub>, cases 1 and 2 are similar  $(n_a=n_b, r_{13}=r_{23})$ , cases 3 and 4 are similar  $(n_a=n_b, r_{42}=r_{51})$  and case 1 (case2) gives good change of refractive index where, r<sub>33</sub>=105 (BaTiO<sub>5</sub>). Cases 3 and 4, have very execulent values of r<sub>42</sub>=r<sub>52</sub>=1700 (BaTiO<sub>5</sub>), with smallest O (0 increased with  $E_v$ ) which decrease the effect of largest values of r42. So, the best case is case 4. For KNbO<sub>3</sub>, case 1 is the optimum case, where case 1 with  $r_{33}=64$ ,  $r_{13}=28$ , case 2 with  $r_{23}=1.3$ , so, the index change can occurred in one direction. But the largest value of r<sub>42</sub>=380 can not be useful with E<sub>y</sub>, especially the difference n<sup>-2</sup><sub>x</sub> $n^{-2}$  has large value (0.0286) with case 4. Finally, optimum cases with E<sub>v</sub> are, ease 1 (LiNbO3, LiTaO3 and KNbO3), but case 4 (BaTiO<sub>3</sub> and BaTiO<sub>5</sub>). To prove that, the numerical results of  $\Delta n_x$  and  $\Delta n_y$  with electric field equal 1V/µm are indicated in Table 2.

## 2.3 Propagation Constant and Losses for Metal Clad Waveguide

Relative permittivity of metal electrode is complex  $(\varepsilon_{ni}=\varepsilon_{nnr}+j\varepsilon_{mi})$  and it is a function of wavelength  $(\lambda)$  [11] as;

 $\varepsilon_{\text{mar}} = 1 - \omega_{\text{p}}^2 / (\omega^2 - \omega_{\text{i}}^2),$  $\varepsilon_{\rm mi} = -\omega_{\rm p}^2 \omega_{\rm t} / (\omega^3 + \omega \omega_{\rm t}^2)$ (5)Where  $\omega_p$  is the plasma frequency and  $\omega_t$  is the collision damping frequency. 9.9\*10<sup>15</sup> 19.8\*10<sup>15</sup>.  $10.1*10^{15}$ ,  $12.2*10^{15}$ , but  $\omega_i=1.01*10^{15}$ ,  $0.31*10^{15}$ ,  $0.44*10^{15}$  and  $0.09*10^{15}$  for aluminum, copper, gold and silver, respectively [11], The minimum values of wavelength ( $\lambda$ min) which give negative values for  $\epsilon_{mr}$ are 0.0953, 0.1867, 01906 and 0.1545 µm for aluminum, copper, gold and silver, respectively, so,  $\varepsilon_{tor}$  for the above four metals usually negative within the range of optical wavelength optical communication (i.e.  $\lambda=0.5\mu m$  to  $2.0\mu m$ ) as shown in Appendix A, and  $\varepsilon_{mi}$  usually negative. Refractive index of metal is complex

 $n_m = n_{mr} - j n_{mi}, \quad n_m^2 = \varepsilon_m = \varepsilon_{mr} + j \varepsilon_m$ where, :  $\varepsilon_m < 0, \varepsilon_{mi} < 0$  (6)

## 2.3.1 Calculations of propagation constant, β, and losses, α, for isotropic slab waveguide without buffer layer (Fig.2)

The characteristic equation of slab waveguide without buffer can be derived as;  $k_yT=(q+1)\pi-\tan^{-1}k_yA_s/\gamma_s-\tan^{-1}k_yA_m/\gamma_m$ . where,  $k_y=k_0(n_g^2-N_y^2)^{0.5}$ ,  $k_0=2\pi/\lambda$ ,  $\gamma_s=k_0(N_y^2-n_s^2)^{0.5}$ ,  $\gamma_m=k_0(N_y^2-\epsilon_{mir})^{0.5}$  E<sub>1</sub>/ $\eta_r$ , E<sub>1</sub>=[1+ $\epsilon_{mi}^2$ /(N<sub>y</sub><sup>2</sup>- $\epsilon_{mir}$ )<sup>2</sup>]<sup>0.5</sup>,  $\eta_{r,l}=(\pm 0.5+0.5E_1)^{0.5}$  (7)

A<sub>s</sub>=A<sub>m</sub>=1 (TE mode), A<sub>s</sub>=(n<sub>s</sub>/n<sub>g</sub>)<sup>2</sup>, A<sub>m</sub>=( $\epsilon_{mir}-\epsilon_{mi}\eta_i/\eta_r$ )/n<sub>g</sub><sup>2</sup> (TM mode), q is the mode number and N<sub>y</sub> is the effective index of the waveguide, so, propagation constant is given by;  $\beta=k_oN_y$  (8)

By using Newton-Raphson method mixed with try and error method, Eq.7 can be solved to give  $\beta$ . The attenuation factor ( $\alpha$ ) and losses are defined as[12]:  $\alpha=-[(q+1)\pi/T^2][k_yA_m\eta_i/(\eta_r\beta\gamma_m)]$  (9.a)

(9.b)

Losses=8.685 iai dB/cm

## 2.3.2 Calculations of propagation constant, β, and losses, α, with buffer layer

Characteristic equation for isotropic slab waveguide with buffer (Fig.2) is the same equation of slab without buffer but by replacing  $\gamma_{mgb}$  instead of  $\gamma_{m}$  in Eq.7 [12] where;

$$g_b = [1 + (\gamma_m / \gamma_b A_b) \tanh (\gamma_b T_b)] / [1 + (\gamma_b A_b / \gamma_m) \tanh (\gamma_b T_b)].$$
 (10)  
where,  $\gamma_b = k_0 (N_y^2 - n_b^2)^{0.5}$ ,  $A_b = 1$  (TE mode), and  $A_b = (\epsilon_{mr} - \epsilon_{mi} \eta_i / \eta_r) / n_b^2$  (TM mode) (11)  
special case, for very well wave guide (more confinement of light through wave guide)  $N_y$  approach  $n_g$  so,  $A_s k_y / \gamma_s <<$ ,

$$A_m k_y / \gamma_m \ll$$
 and so, Eq.7 converted into,  
 $k_y T = (q+1)\pi - k_y A_s / \gamma_s - k_y A_m / \gamma_m$  (13)  
so.

$$k_y^2 = (q+1)^2 \pi^2 [1+A_s / (T\gamma_s)+A_m / (T\gamma_m)] / T^2$$
.  
 $\beta$  is defined in [12]as  $\beta^2 = k_o n_g^2 - k_x^2$  (14) then,

$$\beta = k_0 n_g - (q+1)^2 \pi^2 [1 - 2A_s / (T\gamma_s) - 2A_m / (T\gamma_m)] / (2k_0 n_g T^2)].$$
 (15)

The dependence of losses on the waveguide parameters are plotted in Appendix A, which indicates that losses independent approximately, of  $n_b$ ,  $n_s$  and  $n_g$  specially at lower wavelength. Losses of TM mode are very greater than that of TE mode. Losses increased with  $\lambda$  but it decreased with T and  $T_b$  as suggested. Losses of silver are the smallest value.

## 2.4 Propagation Constant of Anisotropic Metal Clad Rectangular Waveguide

To avoid the greater loss of TM mode, the analysis is done for  $E_{pq}^{x}$  mode (i.e. TM mode in x-direction and TE mode in ydirection) with anisotropic materials (Fig.3). The propagation constant is defined as [13];  $\beta = (\beta_x^2 + \beta_v^2 + A)^{0.5}$ (16)where,  $\beta_x$  ( $\beta_x = k_0 N_x$ ) and  $\beta_y$  ( $\beta_y = k_0 N_y$ ) are the propagation constants and effective refractive indices for slabs in x and y directions. respectively, with modified refractive index distribution (Fig.3). Characteristic equations for slabs in x (TM mode) and y (TE mode) directions are,

$$k_xW=(p+1)\pi - 2\tan^{-1}(n^2_s k_x/n^2_{gx} \gamma_x)$$
 (17)  
 $k_yT=(q+1)\pi - \tan^{-1}(k_y/\gamma_s) - \tan^{-1}(k_y/\gamma_m g_b)$   
where  $g_b=1$  if  $T_b=0$  (18)

 $N_x$  is the solution of Eq. 17, and  $N_y$  is the solution of Eq. 18. where,  $k_x = k_0 (n_{gz}/n_{gx}) (0.5n^2_{gx} - N^2_x)^{0.5}$ ,  $\gamma_x = k_0 (n_{gz}/n_{gx}) (N^2_x + 0.5n^2_{gx} - n^2_s)^{0.5}$ ,  $k_y = k_0 (0.5n^2_{gx} - N^2_x)^{0.5}$ ,  $\gamma_s = k_0 (N^2_x + 0.5n^2_{gx} - n^2_s)^{0.5}$ ,  $\gamma_m = k_0 (N^2_x + 0.5n^2_{gx} - \epsilon_{mr})^{0.5} E_1/\eta_r$ ,  $E_1 = [1 + \epsilon_{mi}^2/(N_y^2 + 0.5n^2_{gx} - \epsilon_{mr})^2]^{0.5}$ ,  $\gamma_b = k_0 (N_y^2 + 0.5n^2_{gx} - n_b^2)^{0.5}$ ,  $\gamma_b = k_0 (N_y^2 + 0.5n^2_{gx} - n_b^2)^{0.5}$  (19) p and q are the mode numbers in x and y directions, respectively  $A = V_1/(V_2 V_3)$ 

$$\begin{array}{lll} A=V_{1}/\left(V_{2} \ V_{3}\right) & (20) \\ V_{1}=2 \ k_{o}^{2} \left(n_{gx}/n_{s}\right)^{4} \cos^{2}\left(k_{x}W/2\right) & \\ & \left(n_{gx}^{2}-n_{s}^{2}\right)\left(G_{x}+H_{x}\right). \\ V_{2}=w+\sin(k_{x}w)/k_{x}+2\left(n_{gx}/n_{s}\right)^{4}\cos^{2}\left(k_{x}w/2\right)/\gamma_{x}. \\ V_{3}=T \ (1+F_{x}^{2})+(1-F_{x}^{2}) \sin(k_{y}T)/k_{y}+G_{x}+H_{x}. \\ F_{x}=\left[\gamma_{x} \ /k_{y} \cos(k_{y} \ T/2) -\sin(k_{y} \ T/2)\right]/ \\ & \left[\gamma_{x} \ /k_{y} \sin(k_{y} \ T/2) +\cos(k_{y} \ T/2)\right]. \\ G_{x}=\left[\cos(k_{y} \ T/2) +F_{x} \sin(k_{y} \ T/2)\right]^{2}/\gamma_{s} \ \text{and} \\ H_{x}=\left[\cos(k_{y} \ T/2) -F_{x} \sin(k_{y} \ T/2)\right]^{2}/\left(g_{b} \ \gamma_{m}\right). \end{array}$$

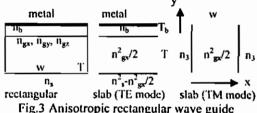


Fig.3 Anisotropic rectangular wave guide (buffer material,  $T_b$ ,  $n_b$ ),  $n_3^2 = n_s^2 - n_{gx}^2/2$ 

## 2.5-Change of Propagation Constant and Phase Shift Due to EO Effect

When applied an electric field to EO waveguide, the change in refractive index ( $\Delta n$ ) and the change of propagation constant  $\Delta \beta$  arc done. In this algorithm;

$$\Delta \beta = \beta_{v} (V=U) - \beta_{0} (V=0)$$
 (21)

V is the applied voltage.

Electric field strength  $E_y$ , through the EO material (:thickness T, relative permittivity  $\varepsilon_r = \sqrt{\varepsilon_x} \ \varepsilon_y$  [17]) calculated in case of buffer layer (thickness  $T_b$ , and relative permittivity  $\varepsilon_b$ ) as,

$$E_{y} = U/(T + T_{b} \varepsilon_{r} / \varepsilon_{b})$$
 (22)

Steps of calculation of  $\Delta\beta$  and  $\Delta\phi$  are;

- Calculation of propagation constant
   (β<sub>0</sub>) before applied voltage, V=0,
   (i.e. refractive indices are n<sub>x</sub> and n<sub>y</sub>),
- 2- Calculation of change of refractive indices in x and y directions  $\Delta n_x$  and  $\Delta n_y$  due to applied voltage.

- 3- Calculation of new refractive index distribution in x and y directions, by summation the change of refractive index to the original refractive index n<sub>x</sub> (new)=n<sub>x</sub>+ Δn<sub>x</sub> and n<sub>y</sub> (new) =n<sub>y</sub>+ Δn<sub>y</sub>.
- 4- Calculation of propagation constant (β<sub>v</sub>) with new refractive index, n<sub>x</sub> (new) and n<sub>y</sub> (new)
- 5-  $\Delta\beta$  due to the perturbation  $\Delta\epsilon$  (x,y) of the dielectric constant of the wave guide  $\Delta\beta = \beta_v \beta_0$ .
- 6- The change of phase shift  $\Delta \phi$  is;  $\Delta \phi = \Delta \beta \ L_m$  (23) Where,  $L_m$  is the modulator length

### 2.6 Evaluation of Modulation Depth ( $\zeta$ ) and Modulation Bandwidth ( $\Delta F$ ):

The modulation bandwidth of phase modulator is the difference between the upper and lower frequencies at which the modulation depth falls to 50% of its maximum value [14] and modulation depth ( $\zeta$ ) is defined as,  $\zeta$ = sinc ( $\Delta F$ . C /N  $L_m$ ) (24) So, bandwidth  $\Delta F$ =0.6 v / (N  $L_m$ ) (25) Where, v is the speed of light and N is the effective index of the modulator waveguide (N= $\beta$  /  $k_o$ ).

#### 3- RESULTS AND DISCUSSION

For the calculation, we need the whole set of EO material parameters, such as elements of  $\varepsilon_i$ ,  $r_{ii}$ , and  $n_i$ , we could not find complete set of these parameters, especially the effect of  $\lambda$  on the last parameters, therefore, we use the following parameters, which we believe, are not too far from the real ones; [10]. Data of EO materials at  $\lambda$ =0.633 µm [11-12, 14-21] are: LiNbO<sub>3</sub> ( $n_a = n_b = 2.286$ ,  $n_c = 2.200$ ,  $\epsilon_a = \epsilon_b = 43$ ,  $\epsilon_c = 28$ ,  $r_{12}$ =-6.8,  $r_{13}$ =9.6,  $r_{22}$ =6.8,  $r_{23}$ =8.6,  $r_{33}$ =30.9,  $r_{42}=32.6, r_{51}=32.6, r_{61}=6.8$ ), LiTaO<sub>3</sub> ( $n_a=n_b=2.176$ ,  $n_c=2.180$ ,  $\varepsilon_a=\varepsilon_{b_c}=42$ ,  $\varepsilon_c=41$ ,  $r_{12}=-0.2$ ,  $r_{13}=8.4$ ,  $r_{22}=0.2$ ,  $\mathbf{r}_{23}$ =8.4,  $\mathbf{r}_{33}$ =30.5,  $\mathbf{r}_{42}$ =20, $\mathbf{r}_{51}$ =20,  $\mathbf{r}_{61}$ =0.2), BaTiO<sub>3</sub>  $(n_a=n_b=2.41, n_c=2.36, \epsilon_a=\epsilon_b=2300, \epsilon_c=60, r_{13}=19,$  $r_{23}=19$ ,  $r_{33}=28$ ,  $r_{42}=820$ ,  $r_{52}=820$ ), BaTiO<sub>5</sub> ( $n_a=n_b=$ 2.480,  $n_c=2.426$ ,  $\varepsilon_a=\varepsilon_b=4300$ ,  $\varepsilon_c=168$ ,  $r_{13}=14.5$ ,  $r_{23}=14.5, r_{33}=105, r_{42}=1700, r_{52}=1700), KNbO3$  $(n_a=2.280, n_b=2.329, n_c=2.169, \epsilon_a=160, \epsilon_b=1000,$  $\varepsilon_c = 55$ ,  $r_{13} = 28$ ,  $r_{23} = 1.3$ ,  $r_{33} = 64$ ,  $r_{42} = 380$ .  $r_{51} = 105$ ) and LiNbO<sub>3</sub> at  $\lambda = 1.15 \mu m$  ( $n_a = n_b = 2.229$ .

 $n_c$ =2.150,  $\varepsilon_a$ = $\varepsilon_b$ =43,  $\varepsilon_c$ =28,  $r_{12}$ =-5.4,  $r_{13}$ =9.6,  $r_{22}$ =5.4,  $r_{23}$ =9.6,  $r_{33}$ =30.9,  $r_{42}$ =32.6,  $r_{51}$ =32.6,  $r_{61}$ =5.4). Note, in this paper we assume quasi-static operations. The results are evaluated with main example, waveguide width (W=8 $\mu$ m), waveguide thickness (T=8 $\mu$ m), buffer thickness (T<sub>b</sub>=0 $\mu$ m, to avoid the mode conversion by T<sub>b</sub>), wavelength ( $\lambda$ =0.633 $\mu$ m), mode numbers (p=q=0), substrate material ( $n_s$ =1.502), buffer material ( $n_b$ =1.446) and

aluminum metal electrode.

The propagation constant is independent approximately, of the material of electrode, also, silver gives the smallest losses (Table 3). Losses approximately independent of the EO materials. The effect of the applied voltage, V, on the change of propagation constant  $\Delta\beta$  is indicated in Table 4, for the favorite cases of the five EO materials with aluminum electrodes and teflon buffer material. Δβ increased linearly with applied voltage for cases without axes rotation, but  $\Delta\beta$  rapidly increased for cases which has rotating of axes. BaTiO<sub>5</sub> gives the largest change of propagation constant (case 4). For modulation applications it is more relevant to consider the voltage required for an optical retardation by л (half wave retardation,  $V_{\pi}$ ) [10]. The values of applied voltage which give change of phase shift  $\Delta \varphi$ equal ±л are evaluated for the five EO materials and different orientation cases with modulator length L<sub>m</sub>=1000 μm (Table 5). Bandwidth ( $\Delta F$ ) depends of the orientation of crystallographic axes, (Table 5). The appropriate modulation figure of merit is the ratio  $V_z / \Delta F$ , this ratio is generally more useful for comparing modulators than the power per unit bandwidth [6] (Table 5), which shows that for LiNbO3, LiTaO3 and KNbO3, the maximum bandwidth occurred at case4, but smallest V<sub>x</sub> occurred at case 1, also, minimum figure of merit occurred at case 1 so, best case for LiNbO<sub>3</sub> and LiTaO<sub>3</sub> is case 1 (or case 2) and for KNbO<sub>3</sub> is case 1. But for BaTiO<sub>3</sub> and BaTiO<sub>5</sub>, maximum band-width, minimum V<sub>z</sub> and minimum figure of merit occurred at case4 (or case 3). Also, from Table 5, polarity of applied voltage effect on the performance of

inodulator where,  $\Delta F$  is decreased and figure of merit ( $V_{\pi}$  /  $\Delta F$ ) increased with  $V_{\pi}$  but, vice versa with  $V_{-\pi}$  because, for  $V_{-\pi}$  the change of  $\Delta \beta$  becomes negative and effective refractive index, N, decreased and from Eq.25,  $\Delta F$  increased. Although, the best material is KNbO<sub>3</sub> (case 1 without rotating of axes) and BaTiO<sub>5</sub>, gives the smallest value of  $V_{\pi}$  and smallest value of figure of merit (case 4 with rotating of axes). But, we must note that LiNbO<sub>3</sub> is the famous material in the published papers and books, perhaps because, LiNbO<sub>3</sub> has industrial advantages and other natural properties than that for other materials.

The values of  $V_{\pm\pi}$  increased with  $T_b$  and the percentage of this increasing (incr %) depend upon the value of  $\varepsilon_r = (\varepsilon_x \ \varepsilon_y)^{0.5}$  and so, incr % (BaTiO<sub>5</sub>) > incr % (BaTiO<sub>3</sub>) > incr % (KNbO<sub>3</sub>) > incr % (LiTaO<sub>3</sub>)> incr % (LiNbO<sub>3</sub>) as indicated in Table 6. Effect of  $T_b$  on the performance of the modulator are shown in Table 7 which indicates that the values of  $\Delta \phi$ ,  $\Delta F$ ,  $\Delta n_x$ ,  $\Delta n_x$  and  $\Delta n_x$  decreased with  $T_b$  but vice versa for values of figure of merit ( $V_\pi$  /  $\Delta F$ ) and  $\beta_v$ .

The required values of  $V_{\pi}$  increased rapidly with  $\lambda$ , but the change of  $\Delta F$ increased slowly, with  $\lambda$  so, the values of  $V/\Delta F$  increased rapidly with  $\lambda$  (Table 8) as suggested, because, at lower  $\lambda$  the magnitude of propagation constant B becomes large and so, any small change of applied voltage  $\Delta V$ make large change of propagation constant  $\Delta\beta$  and this means that for each  $\lambda$  there are  $V_{\pm\pi}$  (Table 9). The data of refractive index and electrooptic coefficients at  $\lambda$ =0.633 µm can be used at any  $\lambda$ , because  $n^3r$ approximately independent of  $\lambda$  through the useful range of  $\lambda$  as indicated in [19]. But there are some difference between the numerical results, for the available data of LiNbO<sub>3</sub> at  $\lambda$ =0.633  $\mu$ m and  $\lambda$ =1.15 $\mu$ m (Table 8). The values of refractive index

and electrooptic coefficients at  $\lambda$ =0.633 µm are used with different values of  $\lambda$ , which we believe, are not too far from the real As suggested performance modulator  $(V_{-x} / \Delta F)$  improvement with mode numbers p and q (Table 10) because, propagation constant decreased with p and q. The values of  $V_{\pm x}$  depends upon the waveguide thickness T (because the applied clectric field E<sub>v</sub> depends on T) but, V<sub>±x</sub> approximately, independent waveguide width W (Table 11). The change of modulator length can be treated by varies the applied voltage with the reciprocal ratio for orientation cases without rotating of axes, because the change of propagation constant increased linearly with the applied voltage (Table 4). As example, for LiNbO<sub>3</sub> at  $\lambda = 0.633 \mu m$  with case 1, the phase shift  $(\Delta \varphi = 1.5707 \text{ rad})$  occurred with either  $L_m =$  $1000 \mu m$  and V=22.065 volt or  $L_m=$ 500μm and V=44.13 volt

As a result of the applied voltage (i.e.  $E_y$ ), there is a change of refractive index in z-direction (propagation direction)  $\Delta n_z$  so, this change makes change of propagation constant  $\Delta \beta_z$  [15] as:

 $\Delta \beta = k_0 \Delta n_z$ (method 2) (26)Where,  $\Delta n_z$  can be calculated directly from index ellipsoidal with some orientation cases. Method 2 is unable to give the propagation constant, effect of modulator width, effect of operating mode numbers and kind of mode, also, it used only for cases which has direct change in nz (cases without rotating of axes) also for uniaxial materials. The results of  $\Delta\beta$  by our algorithm are very good if it is compared with that by  $\Delta\beta = k_0$ but results of KNbO3 (biaxial material) are far from results by algorithm (Table 12) The numerical results with electric field E<sub>x</sub> are similar with that by E<sub>y</sub>, but, with exchange cases (Table 13).

Table 1: Change of refractive index with constant  $E_v$  ( $E_x = E_z = 0$ ) and propagation in z-direction,  $A_n = (1/n_x^2 - 1/n_x^2 + 1$ 

$1/n^2$ .).	E	41 CA	A .			
1/n- 1	For any hidden cases	the values of H	Λn	วทศ กท	are zeros	
1/11 01 .	i oi airi ilidacii cases	tile values of o.	4.2311x	and Dir	e are zeros	

			<del>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>								
Case	Θ	$\Delta n_x / (0.5 n_x^3)$	$\Delta n_v / (0.5 n_v^3)$								
	LiNbO <sub>3</sub> , LiTaO <sub>3</sub> (3m)										
1	0	-r <sub>13</sub> E <sub>v</sub>	-r <sub>33</sub> E <sub>y</sub>								
2	0	-r <sub>23</sub> E <sub>v</sub>	-r <sub>33</sub> E <sub>v</sub>								
3	$0.5 \tan^{-1}(2r_{51}E_{v}/A_{n})$	$[A_n \sin^2(\theta) - r_{51}E_y \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{51}E_v \sin 2\theta]$								
4	0.5tan-1[2r42Ey/(An-r22	$[A_n \sin^2(\theta) - r_{42}E_v \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{42}E_y \sin 2\theta]$								
	E <sub>v</sub> )]	$-r_{22}E_{\mathbf{x}}\sin^2(\theta)$	$-r_{22}E_y \sin^2(\theta)$								
5	0	-r <sub>12</sub> E <sub>v</sub>	-r <sub>22</sub> E <sub>y</sub>								
6	$0.5 \tan^{-1}(2r_{61}E_{v}/A_{p})$	$[A_n \sin^2(\theta) - r_{61}E_y \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{61}E_y \sin 2\theta]$								
	}	(NbO <sub>3</sub> , BaTiO <sub>3</sub> , BaTiO <sub>5</sub> , ZnO, m-NA (mm2, 4	mm, 6mm)								
1	0	-r <sub>13</sub> E <sub>v</sub>	-r <sub>33</sub> E <sub>v</sub>								
2	0	-r <sub>23</sub> E <sub>v</sub>	-r <sub>33</sub> E <sub>v</sub>								
3	$0.5 \tan^{-1}(2r_{51}E_{v}/A_{n})$	$[A_n \sin^2(0) - r_{51}E_v \sin 20]$	$-[A_n \sin^2(0) - r_{51}E_y \sin 20]$								
4	$0.5 \tan^{-1}(2r_{42}E_{y}/A_{n})$	$[A_n \sin^2(0) - r_{42}E_v \sin 20]$	$-[A_n \sin^2(0) - r_{42}E_y \sin 20]$								

Table 2 Values of 0,  $\Delta n_x$  and  $\Delta n_y$  with  $E_y = 1 \text{ V}/\mu\text{m}$ .

		Z-cut		Y-cut		X-cut	
Case		1	3	2	4	5	6
LiNbO <sub>3</sub>	1000∆n <sub>x</sub>	-0.05734	-0.00037	-0.05734	-0.00037	0.04062*	0.04062*
	1000∆n <sub>y</sub>	-0.16451	0.00042	-0.16451	-0.04020	-0.04062*	-0.04062
	Θ degree	0	0.1225	0	0.1225	0_	45
LiTaO,	1000∆n <sub>x</sub>	-0.04327	0.00267	-0.04327	0.00267	-0.00103*	-0.00103*
	1000∆n <sub>v</sub>	-0.15799	-0.00266	-0.15799	-0.00163	0.00103*	-0.00103*
	Θ degree	0	-1.47859	0	-1.47897	0	45
KNbO,	1000∆n <sub>x</sub>	-0.16593	-0.00279	-0.00821	-0.02612	T	
	1000∆n,	-0.32653	0.00324	-0.32563	0.03234	7	
	O degree	0	0.29792	0	0.77183	7	
BaTiO <sub>3</sub>	1000∆n <sub>x</sub>	-0.13298	-0.59214	-0.13298	-0 59214	]	
	1000∆n,	-0.18402	0.63058	-0.18402	0.63058	7	
	O degree	0	6.27035	0	6.27035		
BaTiO <sub>5</sub>	1000Δn <sub>x</sub>	-0.11058	-2.68145	-0.11058	-2.68145		
	1000Δn,	-0.74960	2.86452	-0.74960	2.86452	}	
	⊖ degree	0	12.45890	0	12.45890		

case 5 similar with case 6 by rotate axes Θ=45°, BaTiO<sub>3</sub> is the excellent material with case 4 as suggested.

Table 3: Effect of material of metal electrodes on the propagation constants and losses for anisotropic rectangular waveguide with  $\lambda=1\mu m$ ,  $T_b=0.1\mu m$ ,  $\beta$  ( $\mu$ m)<sup>-1</sup> and  $10^6$  losses (dB/cm)

ectangu	iai wa	regulae w	1111 X-1	1111, 15-0	<i>γ.</i> τμπ,	$\rho(\mu m)$	ilia 10	102262 (	ub/cm				
Case		1		2		3		4		5		6	
		Beta	Loss	Beta	Loss	Beta	Loss	Beta	Loss	Beta	Loss	Beta	Loss
LiNbO <sub>3</sub>	Αi	14.3528	10.4	14.3528	10.4	13.8125	10.2	13.8125	10.2	14.3524	10.4	14.3524	10.4
	Cu	14.3528	10.0	14.3528	10.0	13.8125	10.0	13.8125	10.0	14 3524	10.0	14.3524	10.0
	Au	14.3528	14.6	14.3528	14.6	13.8125	14.6	13.8125	14.6	14.3524	14.6	14.3524	14.6
	Ag	14,3528	2.2	14.3528	2.2	13.8125	2.1	13.8125	2.1	14.3524	2.2	14.3524	2.2
LiTaO <sub>3</sub>	Λħ	15,1325	10.6	15.1325	10.6	14.8183	10.5	14.8183	10.5	15.1323	10.6	15.1323	10.6
	Cu	15.1325	10.0	15.1325	10.0	14.8183	10.0	14.8183	10.0	15.1323	10.0	15.1323	10.0
	Au	15.1325	14.6	15.1325	14.6	14.8183	14.6	14.8183	14.6	15.1323	14.6	15.1323	14.6
	Ag	15.1325	2.2	15.1325	2.2	14.8183	2.2	14.8183	2.2	15.13232	2.2	15.1323	2.2
KNbO <sub>3</sub>	Al	14.3091	10.4	14.6230	10.5	13.6053	10.1	13.6051	10.1	14.3083	10.4	14.6224	10.5
	Cu	14,3091	10.0	14.6230	10.0	13,6053	10.0	13.6051	10.0	14.3083	10.0	14.6224	10.0
	Au	14.3091	14.6	14.6230	14.6	13.6053	14.6	13.6051	14.6	14.3083	14.6	14.6224	14.6
	Ag	14.3091	2.2	14.6230	2.2	13.6053	2.1	13.6051	2.1	14.3083	2.2	14.6224	2.2
BaTiO <sub>3</sub>	Αl	15.1325	10.6	15.1325	10.6	14.8183	10.5	14.8183	10.5	15.1323	10.6	15.1323	10.6
	Си	15.1325	10.0	15.1325	10.0	14.8183	10.0	14.8183	10.0	15.1323	10.0	15.1323	10.0
	Au	15.1325	14.6	15.1325	14.6	14.8183	14.6	14.8183	14.6	15.1323	14.6	15.1323	14.6
	Ag	15.1325	2.2	15.1325	2.2	14.8183	2.2	14.8183	2.2	15.1323	2.2	15.1323	2.2
BaTiO <sub>5</sub>	AL	15.5726	10.7	15.5726	10.7	15.2333	10.6	15.2333	10.6	15.5723	10.7	15.5723	10.7
	Cu	15.5726	9.9	15.5726	9.9	15.2333	10.0	15.2333	10.0	15.5724	9.9	15.5724	9.9
	Au	15.5726	14.5	15.5726	14.5	15.2333	14.5	15.2333	14.5	15.5724	14.5	15.5724	14.5
	Ag	15.5726	2.2	15.5726	2.2	15.2333	2.2	15.2333	2.2	15.5723	2.2	15.5723	2.2

Table 4: Dependence of modulator characteristics on the applied voltage, U(volt), with orientation case 1,  $\lambda$ =0.633 $\mu$ m and  $T_b$ =0.

	LiNbO <sub>3</sub>		LiTaO <sub>3</sub>		KNbO <sub>3</sub>		BaTiO,		BaTiO <sub>s</sub>	
	U=10	U=50	U=10	U=50	U=10	U=50	[I=10	U=50	U=10	U=50
1000Δβ	-0.7114	-3.5572	-0.5360	-2.6836	-2.0580	-10.294	-1.6499	-8.2512	-1.3733	-6.8607
Δφ (rad)	-0.7114	-3.5572	-0.5360	-2.6836	-2.0580	-10.294	-1.6499	-8.2512	-1.3733	-6.8607
ΔF(GHZ)	78.76584	78,77572	82.7495	82.75774	78.97746	79.00623	74.7138	74.73443	72.60293	72.61912
U/ AF	0.12696	0.63470	0.12080	0.60417	0.12662	0.63286	0.13384	0.66904	0.13774	0.68850
β <sub>τ</sub> (μm <sup>-1</sup> )	22.68352	22.68209	21.59151	21.58936	22.62274	22.61450	23.91374	23.90714	24.60901	24.60353
Δn,	-0.07168	-0.35839	-0.05409	-0.27046	-0.20742	-1.03708	-0.16622	-0.83110	-0.13823	-0.69115
Δп,	-0.20514	-1.02820	-0.19749	-0.98746	-0.40817	-2.04084	-0.23002	-1.15012	-0.93701	-4.68512
Δn <sub>z</sub>	-0.07168	-0.35839	-0.05409	-0.27046	-0.01026	-0.05132	-0.16622	-0.83110	-0.13823	-0.69115

Table 5: The values of bandwidth ( $\Delta F$ ), figure of merit (V/ $\Delta F$ ) at  $V_{\pm x}$  with different orientation axes.  $E_{00}^{x}$ ,  $\lambda$ =0.633 µm, aluminum and  $T_{b}$ =0. \* negative voltage

	Case	U	Δφ	ΔF	V/AF	Θ (degree)	Beta (0)	Beta (u)
	<del></del>	(volt)	(rad)	(GHZ)	(V/GHZ)		(nm).,	(µm)
LiNbO <sub>3</sub>	f and 2	44.13	-π	78.77428	0.5602	0	22.68423	22.68109
	3	234.14	-π	81.85501	2.8604	3.5655	21.82745	21.82745
	4	232.71	-π	81.85501	2.8430	3.59	21.82745	21.82745
	5 and 6	62.386	+π	78.75337	0.7927	0	22.68711	22.68711
	1 and 2*	-44.156	+π	78.75246	-0.5607	0	22.68423	22.68737
LiTaO,	i and 2	58.47	-π	82.75948	0.7065	0	21.59204	21.58890
	3	90.34	+π	82.58358	1.0939	-15.1286	21.63174	21.63489
	4	90.34	+π	82.58358	1.0939	-15.1651	21.63174	21.63489
	5 and 6	2458	-π	82.75944	29.7005	0	21.59205	21.5891
	I and 2*	-58.5	+π	82.73540	-0.7071	0	21.59204	21.59518
KNbO	1	15.25	-π	78.98125	0.1931	0	22.62479	22.62165
	2	306.50	-n	77.31934	3.9641	0	23.11102	23.10788
	3	85.405	•π	83.02501	1.0287	3.1676	21.52300	21.51986
	4	27.875	-π	83.02550	0.3357	2.6821	21.52287	21.51973
	1*	-15.25	+π	78.95931	-0.1931	0	22.62479	22.62794
BaTiO <sub>3</sub>	I and 2	19.05	-π	74.71845	0.2550	0	23.91539	23.91225
	3 and 4	5.831	-π	76.30212	0.0764	4.6046	23.41909	23.41595
	land 2*	-19.025	+π	74.69884	-0.2547	0	23.91539	23.91853
BaTiO <sub>s</sub>	1, 2	22.88	-π	72.60814	0.3151	0	2461039	24.60725
	3 and 4	2.688	-π	74.22492	0.0362	4.4364	24.07438	24.07125
t	I and 2*	-22.91	+π	72.58960	-0.3156	0	24.61039	24.61235

Table 6: Effect of  $T_b$  on the values of  $V_{\pm\pi}$  with  $E_{00}^x$ ,  $\lambda$ =0.633  $\mu$ m, aluminum and case I

Tb	LiNbO <sub>3</sub>		LiTaO <sub>3</sub>		KNbO <sub>3</sub>		BaTiO <sub>3</sub>		BaTiO <sub>5</sub>	
ł	$\varepsilon_r = 34.7$		$\varepsilon_r = 41.5$		ε₁ =95.6		$\varepsilon_r = 371.5$		ε =849.9	
	V.,	incr. %	V.,	incr. %	V <sub>-8</sub>	incr. %	V <sub>-4</sub>	incr. %	V.,	Incr. %
0.00	44.13		58.47		15.25		19.05		22.88	
0.05	48.70	110.36	65.81	112.55	19.54	128.13	40.16	210.81	81.01	354.06
0.10	53.28	120.73	73.15	125.11	23.80	156.07	61.29	321.73	139.13	608.10

Table 7: the dependence of  $\Delta \phi$ ,  $\Delta F$ , figure of merit  $(V_x / \Delta F)$ ,  $\beta_o$ ,  $\beta_v$ ,  $\Delta n_x$ ,  $\Delta n_x$  and  $\Delta n_x$  upon  $T_b$  for  $E^x_{00}$ , LiNbO<sub>2</sub> with case 1 and U=20 V

LINOC	Silvooj widi caser and 0-29 v											
Tb	Δφ	ΔF	U/ΔF	Beta (0)	Beta (u)	1000Δn <sub>x</sub>	1000∆n <sub>v</sub>	1000∆n <sub>z</sub>				
Ĺ	(rad)	(GHZ)	(V/GHZ)	(μm) <sup>-1</sup>	(µm) <sup>-1</sup>	<u> </u>						
0.00	-1.424789	78.76832	0.253909	22.68423	22.68280	-0.14335	-0.41128	-0.14335				
0.05	-1.291275	78.76788	0.253911	22.68422	22.68293	-0.12988	-0.37263	-0.12988				
0.10	-1.178741	78.76750	0.253912	22.68422	22.68304	-0.11873	-0.34062	-0.11873				
0.15	-1.087189	78.76718	0.253913	22.68422	22.68313	-0.10933	-0.31368	-0.10933				
0.20	-1.005173	78.76690	0.253914	22.68422	22.68321	-0.10132	-0.29068	-0.10132				
0.25	-0.938416	78.76667	0.253915	22.68422	22.68328	-0.09440	-0.27083	-0.09440				

Table 8: Dependence of  $V_{-x}$ ,  $\Delta F$ , figure of merit  $(V_x / \Delta F)$  and  $\beta_v$  upon  $\lambda$  for  $E^x_{00}$ , LiNbO<sub>3</sub> with case 1, data at  $\lambda$ =0.633 um and  $T_v$ =0. \* results with data of LiNbO<sub>3</sub> at  $\lambda$ =1.15 um

uala al A-l	ata at $\lambda$ =0.033 µm and $T_6$ =0. Testitis with data of Lindo(3 at $\lambda$ =1.13 µm										
λμm	V.	Δφ	ΔF	V <sub>x</sub> /ΔF	βο	β,					
	Volt		GHZ	Volt/ GHZ	beta at V=0	beta at V= V,					
0.633	44.130	-π	78.77428	0.560206	22.68423	22.68109					
1.00	69.670	-π	78.81491	0.883970	14.35287	14.34973					
1.15	80.120	-π	78.83584	1.016290	12.47785	12.47471					
1.15*	86.482*	-π*	80.8562*	1.0696*	12.1661*	12.1630*					
1.55	107.922	-π	78.90371	1.367768	9.250611	9.247470					

Table 9: Values of  $V_{\pm \pi}$  as a function of  $\lambda$  for the five materials with case 1 and  $T_b=0$ 

	V <sub>-x</sub> Volt	(at $\Delta \varphi = -\pi$ )		V <sub>a</sub> Volt	(at $\Delta \phi = \pi$ )	
	λ =0.633 μm	λ=1.0 μm	$\Lambda = 1.55  \mu m$	λ =0.633 μm	λ=1.0 μm	$\Lambda = 1.55 \mu m$
LiNbO <sub>3</sub>	44.13	69.67	107.922	-44.156	-69.7025	-107.95
LiTaO <sub>3</sub>	58.47	92.37	143.01	-58.5	-92.312	-143.00
KNbO <sub>3</sub>	15.25	24.09	37.37	-15.25	-24.113	-37.36
BaTiO <sub>3</sub>	19.05	30.07	46.57	-19.025	-30.05	-46.55
BaTiO <sub>5</sub>	22.88	36.144	55.98	-22.91	-36.16	-55.98

Table 10: Dependence of  $V_x$ ,  $\Delta F$ , figure of merit  $(V_x/\Delta F)$  and  $\beta_v$  upon p and q for  $E^x_{00}$ , LiNbO<sub>3</sub> with case 1,  $\lambda$  =0.633 µm and  $T_b$ =0

P	Q	V <sub>z</sub>	Δφ	ΔF	V <sub>z</sub> /ΔF	βο	βν
	1	Volt		GHZ	Volt/ GHZ	beta at V=0	beta at V= V <sub>x</sub>
0	0	44.130	-x	78.77428	0.560206	22.68423	22.68109
0	1	44.130	-x	78.80902	0.559961	22.67423	22.6109
1	0	44.090	-π	78.80926	0.559443	22.67416	22.67102
1	1	44 090	-π	78 84403	0.559205	22 66416	22 66102

Table 11: Dependence of  $V_x$ ,  $\Delta F$ , figure of merit ( $V_x/\Delta F$ ) and  $\beta_v$  upon W and T for  $E^x_{00}$ , LiNbO<sub>3</sub> with case 1,  $\lambda$  =0.633 µm and  $T_b$ =0

W	T	V.	Δφ	ΔF	V <sub>z</sub> /ΔF	βο	β <sub>v</sub>
		_Volt		GHZ	Volt/ GHZ	beta at V=0	beta at V= V,
2	8	44.055	-π	78.94283	0.558049	22.63580	22.63266
4	8	44.090	-π	78.80869	0.559456	22.67432	22.67118
8	2	11.015	-π	78.93777	0.139540	22.63725	22.63411
8	4	22.045	-π	78.80811	0.279730	22.67449	22.67449
8	8	44.130	-π	78.77428	0.560208	22.68423	22.68109

Table 12: Comparison between results by our algorithm and results by  $\Delta\beta$ = $k_o$   $\Delta n_z$  for case 1,  $\lambda$  =0.633  $\mu$ m and  $T_b$ =0

		LiNbO <sub>3</sub>	LiTaO <sub>3</sub>	KNbO <sub>3</sub>	BaTiO <sub>3</sub>	BaTiO <sub>5</sub>
	U	44.130	58.47	15.25	19.05	22.88
Our algorithm	1000Δβ	-3.141403	-3.1414 <u>0</u> 3	-3.141403	-3.141403	-3.141403
	ΔF	78.77428	82 75948	78.98125	74.71845	72.60814
	V <sub>x</sub> /∆F	0.560208	0.706505	0.193084	0.254957	0.315116
Method 2	1000Δβ	-3.139711	-3.139388	-0.155374	-3.143081	-3.139315
	ΔF	78.75105	82.73261	77.28691	74.69861	72.58990
	V <sub>x</sub> /∆F	0.560373	0.706735	0.197317	0.255025	0.315195

Table 13: Change of refractive index with constant  $E_x$  ( $E_y = E_z = 0$ ) and propagation in Z-direction,  $A_n = (1/n^2 - 1/n^2)$ . For any hidden cases the values of  $\theta$ ,  $\Delta n_x$  and  $\Delta n_y$  are zeros

Tit y). Tot ally madeli cabes	the values of o, many and min and	
Θ	$\Delta n_x / (0.5 n_x^3)$	$\Delta n_v / (0.5 n_v^3)$
	LiNbO3, LiTaO3 (3m)	
0	-r <sub>33</sub> E <sub>x</sub>	-r <sub>13</sub> E <sub>x</sub>
0	-r <sub>33</sub> E <sub>x</sub>	-r <sub>23</sub> E <sub>x</sub>
$0.5 \tan^{-1}(2r_{SI}E_x/A_n)$	$[A_n \sin^2(\theta) - r_{51}E_x \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{51}E_x \sin 2\theta]$
$0.5 \tan^{-1} \left[ 2r_{42}E_x / (A_n + r_{22}E_x) \right]$	$[A_n \sin^2(0) - r_{42} E_x \sin 20]$	$-[A_a \sin^2(0) - r_{42}E_x \sin 20]$
	$-r_{22}E_x \sin^2(\theta)$	$+r_{22}E_x \sin^2(\theta)$
0	-r <sub>22</sub> E <sub>x</sub>	-r <sub>12</sub> E <sub>x</sub>
$0.5 \tan^{-1}(2r_{61}E_x/A_n)$	$[A_n \sin^2(\theta) - r_{61}E_x \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{61}E_x \sin 2\theta]$
KNbO3, B	aTiO3, BaTiO5, ZnO, m-NA (mm2,	4mm, 6mm)
0	-r <sub>33</sub> E <sub>x</sub>	-r <sub>13</sub> E <sub>x</sub>
0	-r <sub>33</sub> E <sub>x</sub>	-r <sub>23</sub> E <sub>x</sub>
$0.5 \tan^{-1}(2r_{51}E_x/A_n)$	$[A_n \sin^2(\theta) - r_{51}E_x \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{51}E_x \sin 2\theta]$
$0.5 \tan^{-1}(2r_{42}E_x/A_n)$	$[A_n \sin^2(\theta) - r_{42}E_x \sin 2\theta]$	$-[A_n \sin^2(\theta) - r_{42}E_x \sin 2\theta]$
	$\Theta$ 0 0 0.5tan <sup>-1</sup> (2r <sub>51</sub> E <sub>x</sub> /A <sub>n</sub> ) 0.5tan <sup>-1</sup> [2r <sub>42</sub> E <sub>x</sub> / (A <sub>n</sub> +r <sub>22</sub> E <sub>x</sub> )] 0 0.5tan <sup>-1</sup> (2r <sub>61</sub> E <sub>x</sub> /A <sub>n</sub> )  KNbO3, B 0 0 0.5tan <sup>-1</sup> (2r <sub>51</sub> E <sub>x</sub> /A <sub>n</sub> )	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$

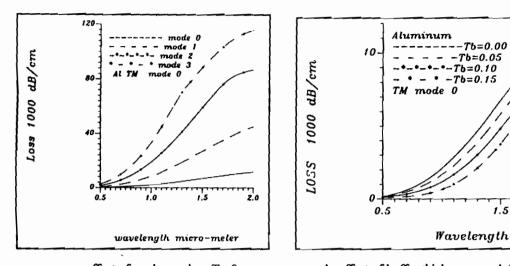
#### 4-CONCLUSIONS

The designed algorithm is applied to compute the optimum transverse phase modulator (broad bandwidth,  $\Delta F$ , lower  $V_{\pi}$  and smallest losses). Changes of propagation constant increase linearly with applied

voltage (without rotating of axes) so, the effect of modulator length can be treated by changing the applied voltage with the same reciprocal ratio.  $V_{\pi}$  and  $\Delta F$  depend on the EO materials and orientation of axes. KNbO<sub>3</sub> with case 1 (without rotating of

#### E. 12 A. M. Zaghloul

#### Appendix A: continued



a- effect of mode number, T<sub>b</sub>=0 b- effect of buffer thickness, n<sub>b</sub>=1.446, m=0 Fig.A.4 Effect of mode number (m) and buffer thickness (T<sub>b</sub>) on the propagation losses for LiNbO<sub>3</sub> with Aluminum and TM mode. T=8  $\mu$ m, w=8  $\mu$ m, n<sub>s</sub>=1.502, n<sub>g</sub>=1.532.

1.5

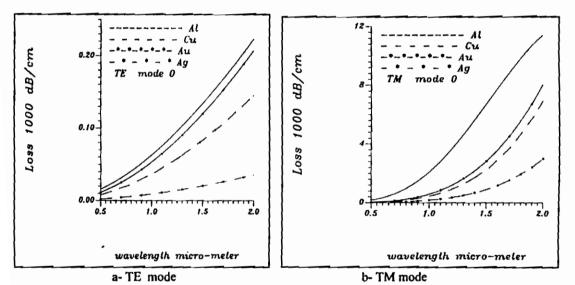


Fig.A.5 Propagation losses of LiNbO3 with Aluminum, Copper, Gold and Silver T=8  $\mu$ m, w=8  $\mu$ m, T<sub>b</sub>=0  $\mu$ m, n=1.502, n=1.532.